

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	200207858-1		
	APPLICANT		
	Francisco R mero		
	FILING DATE	GROUP	
	07/10/2003		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
/JT/	1A	2002/0129127	09/12/2002	Romero et al.	
/JT/	1B	2002/0016812	02/07/2002	Uchishiba et al.	
/JT/	1C	2002/0069279	06/06/2002	Romero et al.	
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

/JT/	1Q	Sun Microsystems, Inc., White Paper, "Transforming the Economics of Computing", Optimizing IT Infrastructure Utilization, 2003, Chapters 1-5, pages 1-10
/JT/	1R	Sun Microsystems, Inc., White Paper, "N1 - Introducing Just in Time Computing", An Executive Brief, 2002, 9 pages
/JT/	1S	Sun Microsystems, Inc., N1 Technology Brief, "The I-Fabric Architecture", A core component of Sun's Terraspring software, 2002, 2 pages

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/Jennifer To/	04/10/2007

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	2P					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

/JT/	2Q	IBM Think Research papers: 1) eWLM: Distributed Computing (2 pages); 2) eWLM: Managing Complexity (2 pages); 3) eWLM: Allocating for Efficiency (2 pages); 4) The Great Balancing Act (2 pages); and 5) eWLM: Autonomic Computing (3 pages); 2002, eWLM: Enterprise Workload Management
/JT/	2R	IBM Presentation: "Workload Management for e-Business: eWLM, Mike Smith, 4/1/2003, 27 pages
/JT/	2S	Francisco Romero, Patent Application (49 pages of specification, claims and abstract, and 4 sheets of drawings), "Dynamic Adaptive Server Provisioning for Blade Architectures", Atty. Docket No. 100110160-1

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	3P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

/JT/	3Q	"Apparatus and Method to Automatically Activate a Reserve Resource", U.S. Patent Application Serial Number 09/709,705 (22 pages of specification, claims and abstract, and 5 sheets of drawings), filed 11/09/2000, Atty. Docket No. 10002676-1
/JT/	3R	Clifford A. McCarthy, et al., "Dynamic Management of Virtual Partition Computer Workloads through Service Level Optimization", U.S. Patent Application Serial Number 10/206,594 (27 pages of specification, claims and abstract, and 5 sheets of drawings), filed 7/26/2002, Atty. Docket No. 10010581-1
/JT/	3S	Clifford A. McCarthy, et al., "Dynamic Management of Computer Workloads through Service Level Optimization", U.S. Patent Application Serial Number 09/493,753 (36 pages of specification, claims and abstract, and 7 sheets of drawings), filed 1/28/2000, Atty. Docket No. 10992091

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	FILING DATE 07/10/2003	GROUP	

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	4P					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

/JT/	4Q	Paul Henry Bouchier, et al., "Reconfiguration Support for a Multi Partition Computer System", U.S. Patent Application Serial No. 09/562,590 (37 pages of specification, claims and abstract, and 4 sheets of drawings), filed 04/29/2000, Atty. Docket No. 10001728-1
	4R	
	4S	

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DATE CONSIDERED

04/10/2007



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Sheet	1	of	2
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Complete if Known

Application Number	10/616,883
Filing Date	07/10/2003
First Named Inventor	Francisco Romero
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	200207858-1

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Examiner Signature	/Jennifer To/	Date Considered	04/10/2007
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	10/616,883
Filing Date	07/10/2003f
First Named Inventor	Francisco Romero
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	200207858-1

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/JT/		Examiner Henrik Ebbesen Jensen, Search Report, Application No. GB 0415283.1, November 15, 2004, one page.	

Examiner
Signature

/Jennifer To/

Date
Considered

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application Number	10/616,883
Filing Date	July 10, 2003
First Named Inventor	Francisco Romero
Art Unit	2195
Examiner Name	AN, Meng Ai T.
Attorney Docket Number	200207858-1

Sheet	1	of	1
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First Named Inventor	Francisco Romero
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Examiner Name	Meng Ai. T. An
Attorney Docket Number	200207858-1

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